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Date: August 23, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Bimson et al.

Docket No.:

40655.040

Serial No.:

09/769.887

Group Art Unit:

2176

Filed:

January 25, 2001

Examiner:

**TBA** 

Title:

INFORMATION

Confirmation No.:

ARCHITECTURE FOR THE

INTERACTIVE **ENVIRONMENT** 

AUG 3 0 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMEN Plogy Center 2100

Assistant Commissioner For Patents Washington, D.C. 20231

## Assistant Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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3. [ X		e is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with:
	[]	37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
	[]	37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
	[X]	37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.

- 4. [ ] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.
- 5. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period

Serial No.: Docket No.:

	specifi notice	ied in paragraph 3 above but before the mailing date of a final action or a of allowance (where there has been no prior final action):
	[]	A check in the amount of \$180.00 is enclosed in payment of the fee.
	[]	Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
6.[]	since i	is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date hal action or a notice of allowance, whichever comes first, but before ent of the issue fee, and is accompanied by:
	a.	one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and
	b.	the attached petition requesting consideration of this Information Disclosure Statement; and
	C.	the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.
7. [ ]	A fee i	is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement it is being filed in compliance with:
	a. []	37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
	b. [ ]	37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
	C.	The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.
8. [X	Disclo in a co	I hereby certify that each item of information contained in this Information sure Statement was cited in a communication from a foreign patent office ounterpart foreign application not more than three months prior to the filing Information Disclosure Statement.
[]	Stater	by certify that no item of information in the Information Disclosure nent filed herewith was cited in a communication from a foreign patent in a counterpart foreign application or, to my knowledge after making

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reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- 9. [ ] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).
  - [ ] Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
  - [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer

Dated: August 23, 2002

Howard 1. Sobelman

Registration No. 39,038

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	09/769,887			
Filing Date	January 25, 2001			
First Named Invent r	Andrea Bimson			
Art Unit	2176			
Examiner Name	ТВА			
Attorney Docket Number	40655.0400			

			U.S. PATE	NT DOCUMENTS	_
Examiner Initials*	Cite No.1	Document Number  Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of

Complete if Known			
Applicati n Number	09/769,887		
Filing Date	January 25, 2001		
First Named Invent r	Andrea Bimson		
Group Art Unit	2176		
Examiner Name	ТВА		
Attorney Docket Number	40655.0400		

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		JAXO LTD., XGate - White Paper; The enterprise, its data and XGate, www.jaxo.com, 6 December 1998, the whole document			
		CIANCARINI P ET AL.; Managing Complex Documents over the www: A case study for XML; IEEE Transactions on Knowledge and Data Engineering, July 1999, pages 629-638, vol. 11, no. 4, IEEE Inc., New York, US			
		ZHANG, Z., HEUER, A., ENGEL, T., MEINEL, C., DAPHNE - A Tool for Distributed Authoring and Publishing, Proceedings of the Annual Conference of American Society of Information Science, ASIA '99, November 1999, pages 1-15, http://www.citeseer.nj.nec.com/198653.html			
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). 
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